

In re Application of: Jay C. Hsu, et al.

Attention: Application Branch

Serial No.: 09/717,939

Filed: November 21, 2000

Confirmation No.: 9825

Date: May 15, 2001

Attorney Docket No.: KCX-360 (15633)

Art Unit: 1615

Title: PAPER PRODUCTS TREATED WITH OIL-IN-WATER EMULSIONS
Our Account No.: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 53 (f)/63:

- ☒ Rule 53 signed Declaration enclosed (2).
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
☐ Specification originally filed in non-English language; hence verified translation attached of:
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
☒ Attached is an assignment to Kimberly-Clark Worldwide, Inc.
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).
_____, filed in _____ on _____, respectively.
Certified copy (copies) ☐ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.
☐ Attached: _____ Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
☒ Attached is the request return copy of the PTO Notice under Rule 53(f)/63..
☒ Attached: Assignment Recordation Cover Sheet
☐ Preliminary Amendment: _____

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$ 710.00
Total Effective Claims 44 - 20 = 24 x \$18.00	\$ 432.00
Independent Claims 3 - 3 = 0 x \$80.00	\$
If any proper multiple dependent claim (ignore improper) is present, add \$270.00	\$
Filing Fee	\$
Since PTO Notice under Rule 53 (f)/63 set an original due date of N/A	\$
petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$390; 3 months \$890; 4 months \$1390).	\$
If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$ 130.00
Subtotal	\$
If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract	\$
Total	\$
If "non-English" box above is X'd, add Rule 17(k) processing fee \$130.00)	\$ 40.00
If "assignment" box is X'd, add recording fee (\$40.00)	\$
TOTAL FEE ENCLOSED	\$1312.00

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449
Greenville, South Carolina 29602
Phone: 864-271-1592
Facsimile: 864-233-7342

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

May 15, 2001

Lynn D. Hoefler

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DORITY & MANNING

ATTORNEYS AT LAW, P.A.

By Atty: Jason W. Johnston Reg. No. 45,675

Signature: _____

Repln. Ref: 05/22/2001 SZENDIE: 0020592900
BAR: 041403 Date/Number: 09/17/939
FC: 704 \$40.00 CR

The PTO did not receive the following listed item(s): The Assignment



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/17,939	11/21/2000	Jay C. Hsu	KCX-360 (15633)

CONFIRMATION NO. 9825

FORMALITIES LETTER



OC000000005892850

Jason W. Johnston
Dority & Manning, P.A.
PO Box 1449
Greenville, SC 29602-1449

Date Mailed: 03/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
 - \$432 for 24 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1272.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/22/2001 SZEWDIE1 00000059 09717939

RECEIVED

APR 02 2001

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01 FC:101	710.00 OP
02 FC:103	432.00 OP
03 FC:105	130.00 OP